

FROM THE REGISTRY

The American Saddlebred Registry Board of Directors approved the following rule changes with an effective date of January 1, 2017. Note: the approved new language is in **bold italicized** text. A complete list of all ASR Rules and Regulations is available on our website at www.saddlebred.com under Registry -> Governance.

SECTION III REGISTRATION

A. ELIGIBILITY AND REQUIREMENTS

8. A Certificate of Approximate Age of Horse form completed by a licensed veterinarian and current photos must accompany the application for registration when the dam is listed on a stallion's stallion service report for more than one breeding season, and foals resulting from those breedings have not been registered by December 31 of their foaling year.

H. NAMES

7. Name choices are not required at the time of application for registration. Owners may choose to name their horse at the time the application is submitted for no additional fee by providing name choices on the application for registration form. It is recommended that three name choices be provided on the application form in order of the owner's preference. If the requested names are not available, the owner will be contacted to supply new options.

However, if no name choices are listed on the application at the time of submission, the American Saddlebred Registry will complete the registration process (registration number will be assigned) provided all other requirements for registration are met. Owners of unnamed "registered" horses will be required to submit a Name Claim form along with a Name Claim fee before the Certificate of Registration will be issued. Registration certificates will only be issued for those horses that have officially claimed names. The American Saddlebred Registry will not record any further transactions concerning an unnamed animal until a name has been officially claimed. See Section XI regarding Fees.

SECTION XI. FEES.

Naming Fees

Name submitted on the application for registration form at the time of submission or after submission and prior to the registration number being officially assigned by the Registry Free

Name Claim submitted by February 1 of the foal's two-year-old year \$25.00

Name Claim submitted after February 1 of the foal's two-year-old year \$250.00

ASR FUTURITY RULES

To continue eligibility, pending applications must be completed before March 31 of the yearling year, i.e., **a name must be claimed, a registration number assigned, and** results of blood typing or DNA testing must be received in the American Saddlebred Registry office before March 31.

ASR SWEEPSTAKES RULES

Two-Year-Old Sweepstakes: Open to American Saddlebred yearlings that have been **assigned a registration number, claimed a name, and DNA testing or blood typing results completed**, with the American Saddlebred Registry before the nomination closing date of June 1 of the horse's yearling year

Three-Year-Old Sweepstakes: Open to American Saddlebred yearlings that have been **assigned a registration number, claimed a name, and DNA testing or blood typing results completed**, with the American Saddlebred Registry (or application received in the ASR office) before the nomination closing date of March 1 of the horse's yearling year. "In order to maintain sweepstakes eligibility, pending applications, including **a registration number assigned, a**

name claimed, and DNA testing or blood typing results, must be completed prior to March 31 of the yearling year.

SECTION V. E. TRANSFER WITHOUT SIGNATURE OF RECORDED OWNER

4. If ownership of a registered American Saddlebred is conveyed: (i) pursuant to an auction that was not authorized by the American Saddlebred Registry, (ii) pursuant to the seizure by a governmental agency pursuant to legal process or (iii) pursuant to a legal adoption by a nonprofit rescue organization exempt from federal income tax under Section 501(c)(3) of the Internal Revenue Code; and if the chain of ownership with respect to such registered American Saddlebred is broken with transfer signature(s) being unavailable, upon completing and submitting to the Registry appropriate application documentation (that includes the name of the organization and the color, sex, and markings of the horse, if the registered name is not listed), proof of horse identity (DNA or blood typing), payment of fees in full, and such other information as the Registry may from time to time, in its discretion request, the Registry will notify the last recorded owner of such horse.

The notification shall be delivered to the last recorded owner at such owner's address on file with the Registry by registered mail, return receipt requested. The notification shall notify such owner that ownership of the horse has been conveyed, the acquirer has requested that a duplicate registration certificate be issued and/or the transfer of ownership to the acquirer be recorded with the Registry and request that such owner consent to the issuance of the registration certificate and recording of the transfer of the horse to the acquirer. The notification shall further provide that if the owner fails to respond to the notification, the Registry may issue a duplicate registration certificate and record such transfer on its books and records.

In the event the last recorded owner fails to respond within 30 days of the date of the first notification or if the letter is returned as undeliverable, the Registry shall send a duplicate letter to the last recorded owner. In the event the last recorded owner fails to respond to the second letter within 30 days of the date of the second letter or if the letter is returned as undeliverable, the Registry may, in its discretion and taking into account such factors as it deems appropriate in its discretion, issue a duplicate registration certificate for such horse and record the transfer of such horse to the acquirer. Any acquirer requesting the issuance of a duplicate certificate and recording of a transfer under this Section agrees, prior to any action by the Registry, to provide the Registry any and all information requested by the Registry with respect to the horse and the transfer of the horse. The Registry reserves the right, in all circumstances, to refuse to issue any duplicate certificates or record the transfer of any horse under this Section. The Registry may convene the Registry Committee to decide whether to issue any duplicate certificates and record transfers under this Section.

In the event the Registry elects to issue a duplicate certificate and record the transfer under this Section, the acquirer agrees to indemnify, defend and hold harmless the Registry, its officers, employees, agents, representatives directors, successors and assigns from and against any and all claims, causes of action, losses, liabilities, damages, fees and expenses (including attorneys' fees) of any kind with respect to the issuance of such duplicate certificate and recordation of the transfer of such horse to the acquirer.

SECTION XI. FEES

Staff assistance with updating chain of ownership for horse. See Rule. V. E. 4. \$100.00

(Also requires DNA/Blood typing test fee and Transfer of Ownership fees)



For questions, contact the Registry staff at (859) 259-2742, or at registry@asha.net

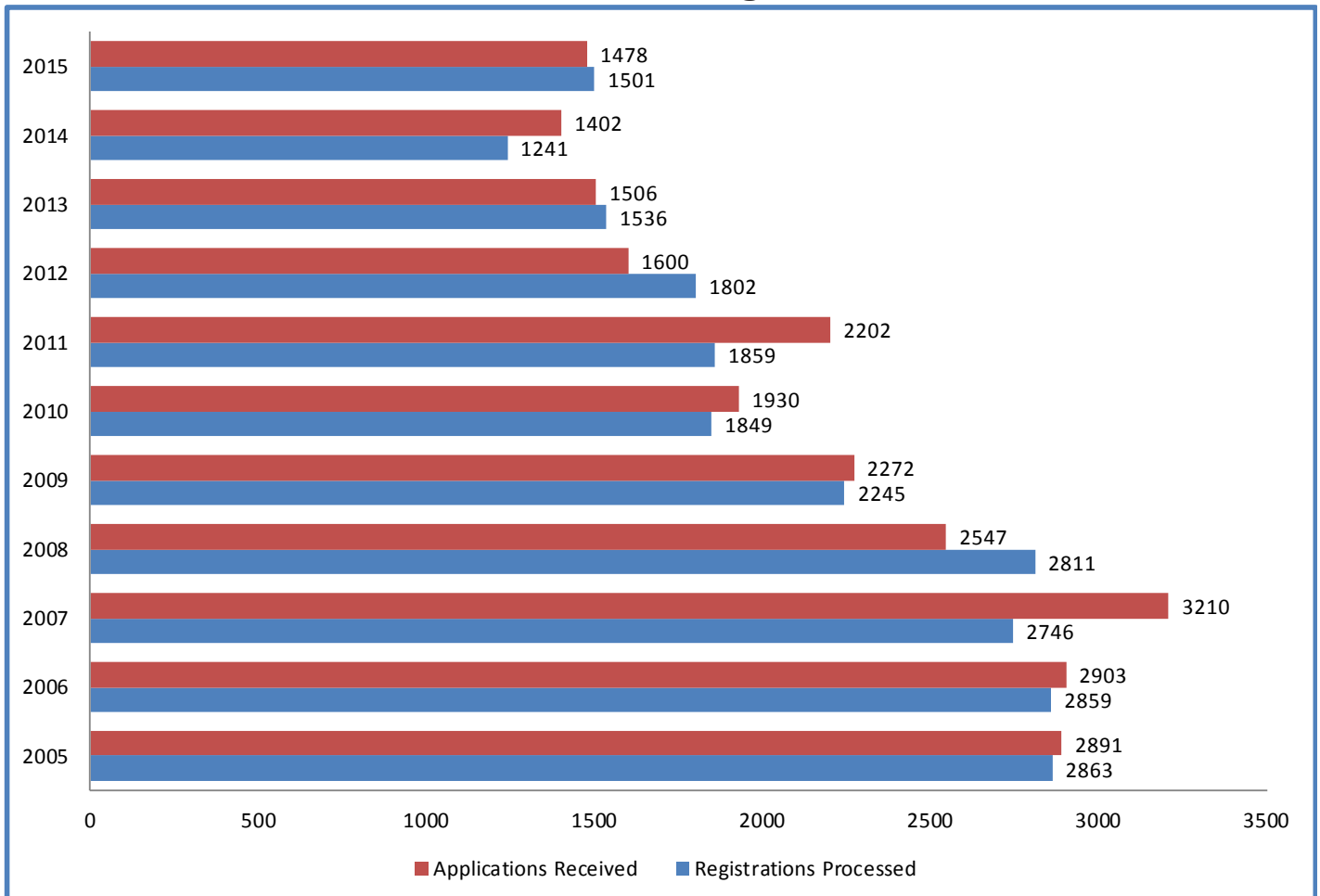
REGISTRY STATISTICS

Breed Registration Trends

	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	Previous Year Difference	% Change	
Quarter Horse	165,057	165,114	135,787	135,924	112,005	100,322	91,074	84,001	74,114	83,146	74,030	-9,116	-10.96%	
Paint	42,557	39,357	35,032	29,534	23,458	17,835	15,630	12,814	13,409	11,572	10,813	-759	-6.56%	
Thoroughbred	38,362	38,100	37,495	35,265	32,339	28,353	22,644	21,440	23,000	22,000	22,000	0	0.00%	
Standardbred	13,310	12,452	10,811	9,102	9,970	9,468	8,059	7,448	7,777	7,448	7,048	-400	-5.37%	
Tennessee Walking	13,283	13,366	9,345	10,235	6,055	4,939	4,206	3,915	4,154	2,825	3,178	353	12.50%	
Arabian	7,861	7,033	6,520	6,120	5,544	4,912	3,936	3,653	3,282	3,489	3,207	-282	-8.08%	
Appaloosa	7,055	6,749	5,945	5,390	4,139	3,486	3,487	3,011	2,654	2,391	2,288	-103	-4.31%	
Miniature Horse	9,238	8,698	8,089	7,732	6,955	5,685	5,219	4,630	4,177	3,715				
Anglo & Half Arabian	4,124	3,309	2,948	2,626	2,056	1,758	1,162	1,173	1,009	1,045	905	-140	-13.40%	
Saddlebred	2,863	2,859	2,746	2,811	2,245	1,849	1,859	1,802	1,536	1,241	1,501	260	20.95%	
Ponies of America	964	1,050	956	841	750	550	343	491	480	519	418	-101	-19.46%	
Morgan Horse	3,156	3,461	3,482	2,512	2,171	1,853	1,481	1,773	1,425	1,389	1,305	-84	-6.05%	
Pinto	4,293	4,262	3,443	2,973	2,271	2,162	1,911	1,788	1,703	1,742	1,577	-165	-9.47%	
Paso Fino	2,120	2,408	1,844	1,701	1,405	796	900	697	489	623				
Total	314,243	308,218	264,443	252,766	211,363	183,968	161,911	148,636	139,209	143,145	128,270			
	Source: Individual Breed Registries								Estimated Values					

Provided by Debbie Fuentes, Registrar, Arabian Horse Association.

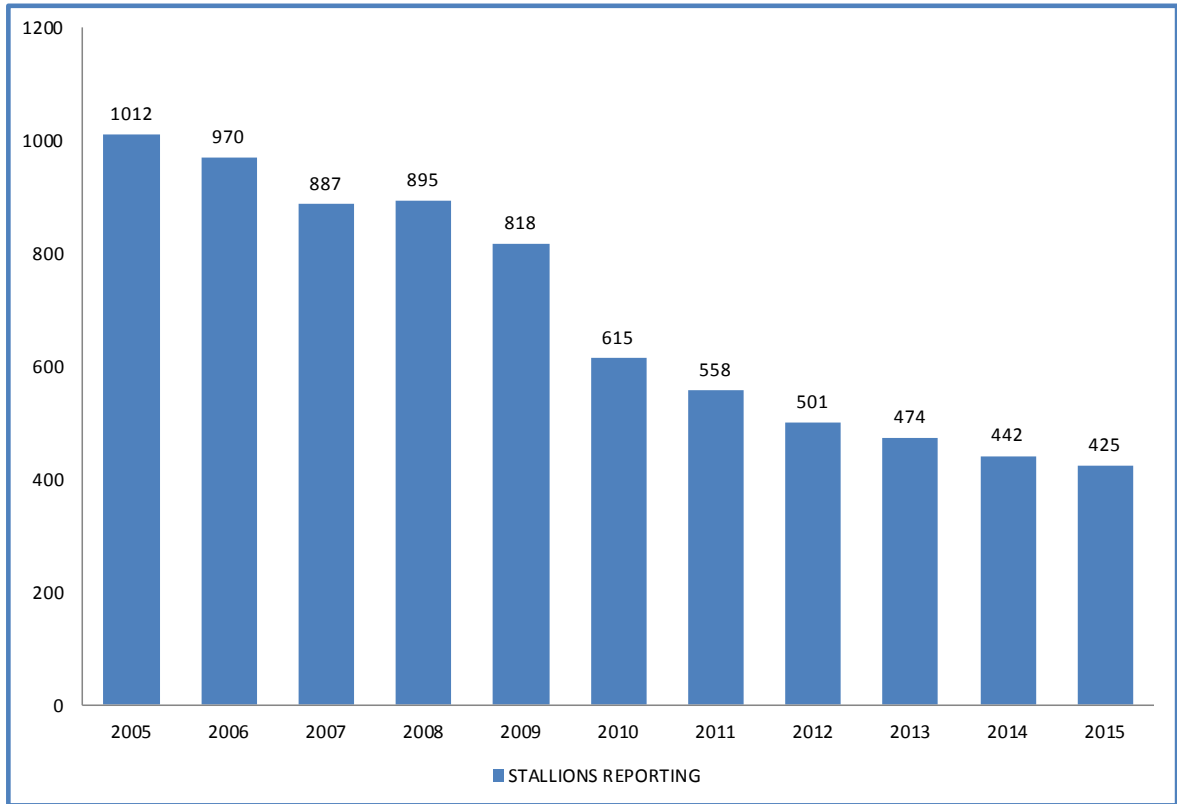
American Saddlebred Registration Trends



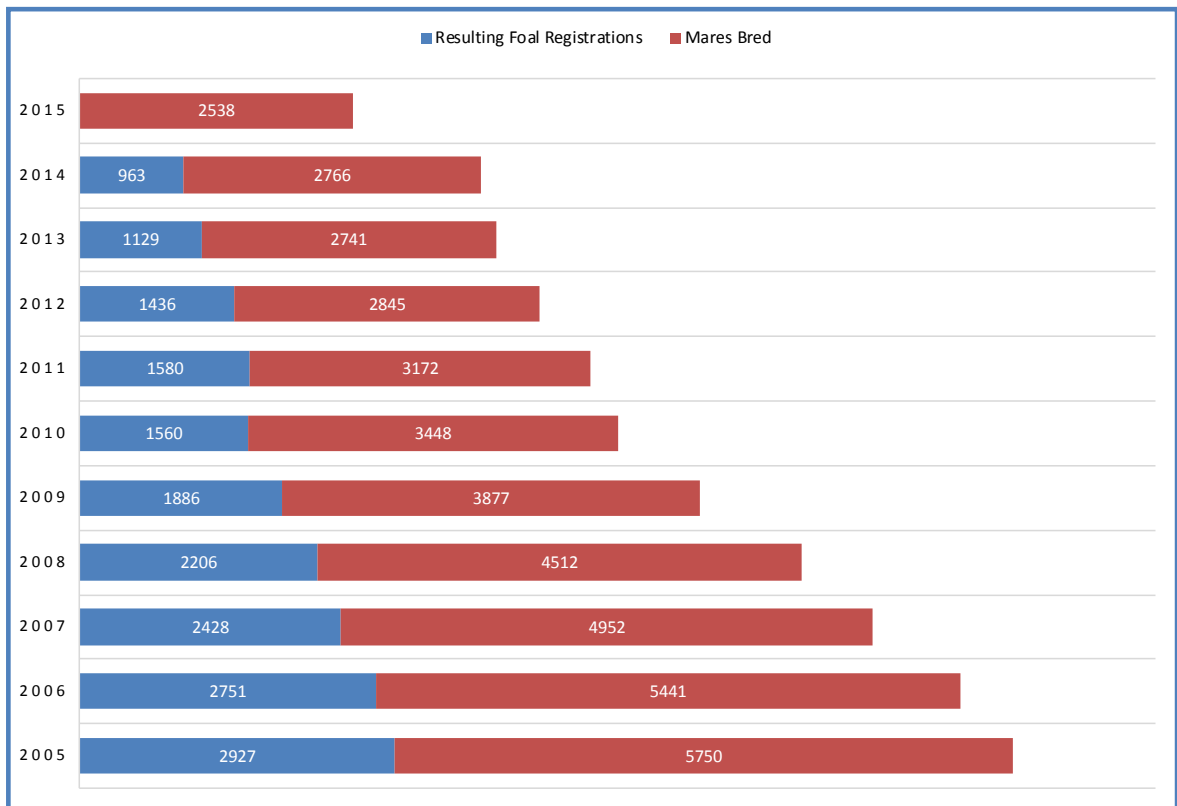
Statistics were presented at the ASHA Convention in February 2016

REGISTRY STATISTICS

Stallions Reporting



Foal Registration Comparison to Mares Bred



Statistics were presented at the ASHA Convention in February 2016

REGISTRY STATISTICS

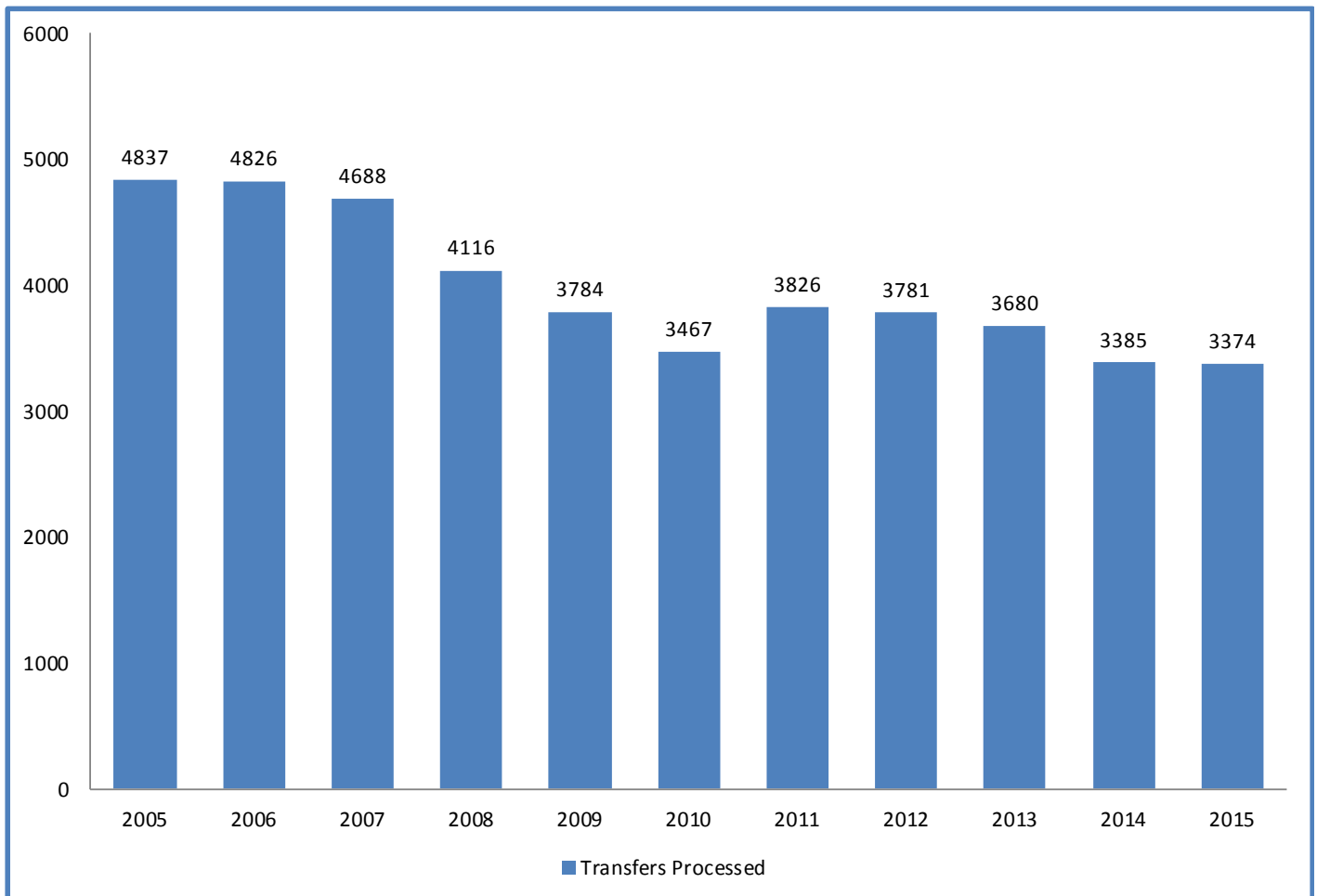
Breed Transfer Trends

	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	Previous Year Change	% Change
Quarter Horse	205,685	206,398	188,907	177,707	150,501	142,852	133,050	133,413	122,864	123,516	120,989	-2,527	-2.05%
Paint	54,310	51,437	45,246	37,786	30,627	24,830	22,924	20,322	19,256	17,645	16,554	-1,091	-6.18%
Standardbred	25,477	24,744	26,383	31,739	25,425	22,637	21,407	22,078	20,790	21,421	20,519	-902	-4.21%
Arabian	15,156	14,066	12,637	11,350	9,960	9,244	8,786	8,184	7,646	7,093	6,747	-346	-4.88%
Appaloosa					5,155	4,309	4,290	4,016	3,539	3,311	2,871	-440	-13.29%
Tennessee Walking Walking	17,548	16,703	13,272	11,940	8,890	7,951	6,876	6,691	5,758	4,745	4,444	-301	-6.34%
Anglo & Half Arabian	4,823	4,461	4,082	3,815	3,265	3,057	2,595	2,781	2,506	2,213	2,041	-172	-7.77%
Saddlebred	4,837	4,826	4,688	4,116	3,784	3,467	3,826	3,781	3,680	3,385	3,374	-11	-0.32%
Morgan Horse	4,895	4,593	4,223	3,689	3,187	3,291	2,947	3,067	2,894	3,207	2,706	-501	-15.62%
Pinto	2,318	2,293	2,074	1,750	1,735	1,790	1,556	1,660	1,514	1,409	1,328	-81	-5.75%
Ponies of America				921	860	670	677	981	1,169	676	623	-53	-7.84%
Miniature Horse	10,475	10,091	8,933	8,366	7,242	6,270	5,776	5,308	4,735				
Paso Fino				2,285	2,181	1,099	1,607	1,384	1,231	1,119			
Total	345,524	339,612	310,445	295,464	252,812	231,467	216,317	213,666	197,582	189,740	182,196		

Source: Individual Breed Registries

Provided by Debbie Fuentes, Registrar, Arabian Horse Association.

American Saddlebred Transfers Processed



Statistics were presented at the ASHA Convention in February 2016